1Immunological and Antigenic Signatures Associated with Chronic Illnesses after2COVID-19 Vaccination

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- 38 Post-vaccination syndrome, machine learning, immune cell populations, circulating
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41 SUMMARY

- 42 COVID-19 vaccines have prevented millions of COVID-19 deaths. Yet, a small fraction
- 43 of the population reports a chronic debilitating condition after COVID-19 vaccination,
- 44 often referred to as post-vaccination syndrome (PVS). To explore potential
- 45 pathobiological features associated with PVS, we conducted a decentralized, cross-
- 46 sectional study involving 42 PVS participants and 22 healthy controls enrolled in the
- 47 Yale LISTEN study. Compared with controls, PVS participants exhibited differences in
- immune profiles, including reduced circulating memory and effector CD4 T cells (type 1
- and type 2) and an increase in TNF α + CD8 T cells. PVS participants also had lower
- 50 anti-spike antibody titers, primarily due to fewer vaccine doses. Serological evidence of
- 51 recent Epstein-Barr virus (EBV) reactivation was observed more frequently in PVS
- 52 participants. Further, individuals with PVS exhibited elevated levels of circulating spike
- 53 protein compared to healthy controls. These findings reveal potential immune
- 54 differences in individuals with PVS that merit further investigation to better understand
- 55 this condition and inform future research into diagnostic and therapeutic approaches.

56

58 INTRODUCTION

The rapid development and deployment of COVID-19 vaccines have been pivotal in 59 mitigating the impact of the pandemic¹. These vaccines have significantly reduced 60 61 severe illness and mortality associated with SARS-CoV-2 infection². Additionally, 62 vaccinated individuals experience a lower incidence of post-acute sequelae of COVID-63 19 (PASC) or long COVID, thus highlighting an additional potential benefit of receiving the COVID-19 vaccines ^{3, 4}. However, COVID-19 vaccines are associated with rare 64 acute adverse events ⁵ such as myocarditis and pericarditis ⁶, thrombosis and 65 thrombocytopenia⁷, Guillain–Barre syndrome, transverse myelitis, and Bell's Palsy^{8,9}. 66

In addition, some individuals have reported post-vaccination symptoms resembling long
COVID beginning shortly after vaccination. This condition, sometimes referred to as
post-vaccination syndrome (PVS) or post-acute COVID-19 vaccination syndrome
(PACVS) ^{10, 11}, is characterized by symptoms such as exercise intolerance, excessive
fatigue, numbness, brain fog, neuropathy, insomnia, palpitations, myalgia, tinnitus or
humming in ears, headache, burning sensations, and dizziness¹⁰. Unlike long COVID,

72 PVS is not officially recognized by health authorities, which has significantly limited

74 patient care and support.

75 The molecular mechanisms of PVS remain largely unknown. However, there is

considerable overlap in self-reported symptoms between long COVID and PVS, as well

as shared exposure to SARS-CoV-2 spike (S) protein in the context of inflammatory
 responses during infection or vaccination^{10,12,13}. In susceptible individuals, vaccines may

responses during infection or vaccination^{10,12,13}. In susceptible individuals, vaccines may
 contribute to long-term symptoms by multiple mechanisms. For example, vaccine

components, such as mRNA, lipid nanoparticles, and adenoviral vectors, trigger

activation of pattern recognition receptors^{14,15}. Thus, unregulated stimulation of innate

immunity could lead to chronic inflammation. Secondly, it has been shown that the S

83 protein expressed following BNT162b2 or mRNA-1273 vaccination circulates in the

plasma as early as one day after vaccination^{16,17}. Interaction with full-length S, its

subunits (S1, S2), and/or peptide fragments with host molecules may result in

prolonged symptoms in certain individuals¹⁶. Recently, a subset of non-classical

87 monocytes has been shown to harbor S protein in patients with PVS¹⁸. Further,

biodistribution studies on mRNA–LNP platforms in animal models indicate its ability to

cross the blood-brain barrier, and the local S expression could result in neurocognitive

90 symptoms ^{19, 20}. Third, vaccine-induced immune responses may be triggering the

91 stimulation of autoreactive lymphocytes²¹.

92 To investigate immunological features in people suffering from persistent symptoms after COVID-19 vaccination, a cross-sectional case-control study was undertaken to 93 94 identify the immunological correlates of PVS. A total of 42 participants with PVS who had no pre-existing comorbidities and 22 contemporaneous healthy controls who did not 95 report PVS after receiving COVID-19 vaccines were included. An important factor to 96 97 evaluate was the possibility that PVS might result from an undiagnosed, asymptomatic 98 SARS-CoV-2 infection coinciding with the vaccination period, instead of being directly caused by the vaccine administration. In addition, infection with SARS-CoV-2 99 significantly impacts immune signatures²². Our objectives were twofold: (1) to conduct a 100

- 101 two-group case-control analysis of the immunophenotypic profiles of individuals with
- 102 PVS in comparison with asymptomatic vaccine recipients, and (2) to compare the
- 103 immunophenotypic profiles of those with PVS with or without a history of SARS-CoV-2
- 104 infection. To achieve these, we profiled circulating immune cell populations, antibody
- responses, and circulating immune modulator levels in addition to assessing the
- 106 demographic and general health characteristics of the participants.

107 **RESULTS**

108 Cohort Description

109 All the blood samples were collected between December 2022 and November 2023 110 from the Listen to Immune, Symptom and Treatment Experiences Now (LISTEN) study 111 ²³. The PVS cohort consisted of a total of 42 participants, including 29 females and 13 112 males with no preexisting comorbidities, whereas the control cohort consisted of 22 113 participants, including 13 females and 9 males (Figures S1A and 1A). Upon recruitment 114 of 44 PVS participants, two had to be excluded from the analyses due to evidence of 115 pharmacological immunosuppression. Information on index vaccine types was reported 116 by 39 out of 42 PVS participants included in the analyses, and they were Comirnaty 117 (Pfizer) (n=14), Spikevax (Moderna) (n=21), and Jcovden (J&J) (n=4). The most 118 frequent symptoms reported by participants were excessive fatigue (85%), tingling and 119 numbness (80%), exercise intolerance (80%), brain fog (77.5%), difficulty concentrating 120 or focusing (72.5%), trouble falling or staying asleep (70%), neuropathy (70%), muscle 121 aches (70%), anxiety (65%), tinnitus (60%) and burning sensations (57.5%). Further, 122 pairwise Euclidean distances were calculated in a sex-segregated manner based on the 123 presence of symptoms at recruitment and two distinct clusters of symptoms were 124 identified in both (Table S1, Figure S1B).

125 Between the case and control cohorts, a total of 15 (35.7%) and 10 (45.5%) reported 126 having a history of one or more previous SARS-CoV-2 infections, respectively (Table 127 S2). However, upwards of 40% of SARS-CoV-2 infections are asymptomatic²⁴. To 128 further investigate prior history of SARS-CoV-2 infections, plasma specimens were 129 analyzed using the EUA-cleared Elecsys® anti-SARS-CoV-2 immunoassays, which 130 measure the presence of high affinity IgM, IgA, and IgG anti-N antibodies. A cut-off index \geq 1 was defined as reactive based on previous literature²⁵. In the non-reactive 131 132 group, the antibody indices varied between 0.09 and 0.17, whereas in the reactive group, they ranged between 1.37 and 94.4. Among participants with PVS, 26 (61.9%) 133 134 were found to be reactive compared with 10 (45.5%) among controls. One participant 135 from each cohort with self-reported history of infection had non-reactive test results. 136 Based on both self-reports and serological analyses the two cohorts were further 137 classified into four subgroups, PVS with no history of infection (PVS-I, n= 15), PVS with 138 a history of infection (PVS+I, n= 27), controls with no history of infection (Control-I, n= 139 11) and controls with a history of infection (Control+I, n= 11) (Figure 1A, Table S2). 140 Even though all PVS participants developed chronic symptoms following vaccination 141 and not infection, it was important to consider the impact of a subsequent SARS-CoV-2 142 infection on immune phenotypes analyzed in this study.

- 143 Among the demographic variables, there were no significant differences in the number
- 144 of males and females between cases and controls (Fisher's Exact test, p= 0.58) or
- among the four groups (Kruskal-Wallis test, p = 0.25; Figure 1B). Similarly, no significant 145
- 146 age differences were observed between cases and controls, median age (42.5 years, 147
- PVS; 38 years, controls, Mann-Whitney U test p= 0.27) and among the four groups 148
- (p = 0.17; Figure 1C).
- 149 The self-reported General Health Visual Analogue Scale (GHVAS) scores on the day of 150 biospecimen collection differed significantly among the four groups (Kruskal-Wallis test,
- 151 p = <0.01). The controls in both subgroups had significantly higher median scores
- compared with the PVS subgroups (64, PVS-I; 60, PVS+I; 95, Control-I; 90, Control+I; 152
- 153 Figure 1D). The PROMIS-29 physical function, fatigue, pain interference, depression,
- 154 anxiety, sleep disturbance, and pain interference scores were compared independently
- 155 among the four groups to gauge the physical and mental health status of the
- 156 participants. The physical function scores were significantly higher among the controls
- 157 than the cases irrespective of infection status, median scores (13, PVS-I; 14.5, PVS+I;
- 20, Control-I; 20, Control+I). The anxiety (9.5, PVS-I; 10, PVS+I; 5, Control-I; 4, 158
- 159 Control+I), depression (8.5, PVS-I; 8, PVS+I; 4, Control-I; 4, Control+I), fatigue (16,
- 160 PVS-I; 15, PVS+I; 6, Control-I; 7, Control+I) and pain scores (9.5, PVS-I; 12, PVS+I;4,
- Control-I: 4. Control+I) were significantly lower in controls compared with participants 161 162 with PVS irrespective of infection status. Further, significantly higher sleep disturbance
- 163
- scores were observed only among infection-negative cases compared to control-I 164 participants (13, PVS-I; 10, Control-I) (Figure 1E).
- 165 Most individuals in each cohort completed the primary series of vaccines based on 166 WHO recommendations (83.3%, PVS; 100%, Controls; Fisher Exact test, p = 0.09).
- 167 Participants with PVS received significantly fewer COVID-19 vaccine doses compared
- with controls, median vaccine numbers (2, PVS; 4, controls; Fisher Exact test, 168
- 169 p = <0.01). On similar lines, the median number of days post the latest vaccination was
- 170 significantly higher among cases with a median of 585 days (± 190) compared with 199
- days (±217) among controls (Mann-Whitney U test, p= <0.01). In 85% of the cases, 171
- participants identified the index vaccine dose as being part of the primary series [dose 172
- 173 1(45%) and dose 2(40%); Figure 1F]. The median number of days for the development
- 174 of any symptom was 4 [Interguartile range (IQR): 23 days], while for severe symptoms,
- 175 it was 10 (IQR: 44 days) post-vaccination. A high proportion of participants with PVS
- 176 developed any symptoms (70%) or severe symptoms (52.2%) within 10 days of
- 177 vaccination (Figure 1G).

178 Differences in circulating immune cell populations

- 179 To determine immune signatures of PVS, peripheral blood mononuclear cells (PBMC)
- 180 were analyzed using flow cytometry. Among the cell populations of myeloid lineage,
- proportions of non-classical monocytes (CD14^{low}CD16^{high}; Mann-Whitney U test, p= 181
- 0.03) were significantly higher in the PVS cohort compared to the controls without 182
- 183 significant differences in the percentage of total monocytes despite greater median
- 184 values in PVS (Figure 2A). The median percentage of conventional type 2 dendritic cells
- (cDC2; CD304⁻/HLA-DR⁺/CD1c⁺) was significantly lower among the participants with 185

186 PVS compared to the controls (p= 0.02) while no differences were observed in the 187 proportions of conventional type 1 dendritic cells (cDC1; (CD304⁻/HLA-DR⁺/CD141⁺) 188 (Figure 2B). Pairwise comparisons were also executed to understand the differences 189 among the PVS subgroups with or without a history of infection. Among the low-density 190 granulocytes, no differences were observed between cases and controls in the 191 proportions of eosinophils (CD66b⁺CD56⁻CD16⁻) or between the cases and controls in 192 the infected or uninfected subgroups but the proportion of neutrophils (CD66b⁺/CD56⁻ 193 /CD16⁺) was significantly higher (p= 0.02) in infection positive PVS subgroup (PVS+I) 194 compared to the convalescent controls (control+I) (Figure S2A). Significantly lower and 195 higher proportions of classical and non-classical monocytes, respectively, were 196 observed among the PVS+I compared to the control+I subgroup (p(cMonocytes)= 197 <0.01; p(ncMonocytes)= 0.03) with no differences between the cases and controls without prior history of SARS-CoV-2 infection (Figure S2B). Next, significantly higher 198 199 proportions of both cDC1 and cDC2 cells were observed in the control+I subgroup 200 compared to the PVS+I subgroup (p=0.03 and p=<0.01), respectively (Figure S2C).

Among the B cell populations, relative proportions of unswitched memory B cells (US memory B cells; $CD19^+/CD27^+/IgD^+$) were significantly higher (p= 0.02) while the proportion of double negative B cells (DN B; IgD⁻/CD27⁻/CD24⁻/CD38⁻) was observed to be lower (p= 0.01) in the PVS cohort compared with controls (Figure 2C).

205 Significant differences in subsets of circulating immune cell populations were observed 206 across T cell lineages. Upon assessment of the T cell populations, notably higher 207 proportions of effector memory CD4 T cell subsets (CD4⁺Tem; CD45RA⁻/CD127⁺/CCR7⁻ 208 ; p= 0.01) and resting natural CD4⁺ Treg; CD45RA⁺/CD25⁺/CD127⁻/HLA-DR⁻; p= 0.05) 209 were observed among the controls (Figure 2D). However, the PVS cohort had 210 significantly higher proportions of exhausted CD8 T cell (CD8⁺ Tex; PD-1⁺/TIM3⁺; p= 211 0.02) (Figure 2F) with no observed differences in the CD4⁺ central memory (CD4⁺ cm; 212 CD45RA⁻/CD127⁺/CCR7⁻) and exhausted (CD4⁺Tex; PD-1⁺/TIM3⁺) CD4 T cell 213 populations (Figure 2D). Upon *in-vitro* stimulation, the expression of CXCR3 on the cell surface (Mann-Whitney U test, p= <0.01), intracellular IL-4 levels (p= 0.04) and IL-4, IL-214 215 6 in combination were found to be significantly lower in the CD4 T of PVS cohort (p= 216 <0.01), with no differences were observed in IFN γ & TNF α levels (Figure 2E). 217 Significant increases in intracellular TNF α levels (p= <0.01) with non-significant 218 increases in IFN ν in the stimulated CD8 T cells were observed in PVS cohort (Figure 219 2F). Only a total of 32.23% of the variability in intracellular TNF α could be explained by IFN γ levels in the CD8⁺T cell populations (R²= 0.32; Figure 2G). 220

221 In the subgroup analyses, no differences in proportions of DN B cell subpopulations were observed (Figure S2D). Proportions of CD4⁺ CD45RA⁺ effector memory T cells 222 223 (CD4⁺ T_{EMRA} ; CD45RA⁺/CD127⁻/CCR7⁻; p= 0.02) and rnTregs (p= 0.03) were both 224 observed to be significantly lower in PVS+I compared to the control+I subgroup (Figure S2E). Proportions of CXCR3 expressing stimulated CD4 T cells was much lower in 225 226 PVS+I cases ($p = \langle 0.01 \rangle$) and the proportions of both IL-4⁺ (p = 0.04), and IL-4⁺/IL-6⁺ ($p = \langle 0.04 \rangle$) 227 0.01) double-positive cells were also lower compared to the controls (Figure S2E). 228 Higher proportion of CD8⁺ Tcm cells was retained in the PVS+I subgroup compared to

the control+I group (Figure S2F; p= 0.02). No differences were observed in immune cell populations between the infection-negative cases (PVS-I) and controls (Controls-I).

231 Lower levels of spike-specific antibody responses in PVS

232 Given the differences in the number of vaccine doses received between participants in 233 the PVS cohort and the control group, we compared spike-specific immunoglobulin G 234 (IgG) levels in relation to the number of vaccine doses administered. Correlation analyses revealed a significant positive correlation between the number of vaccine 235 236 doses and plasma anti-S IgG levels (Spearman's Rank Correlation Coefficient, $\rho = 0.85$, 237 p= <0.01) as well as anti-RBD IgG levels (ρ = 0.83, p= <0.01) in the PVS-I subgroup. In 238 the PVS+I subgroup, only anti-S IgG levels showed a significant correlation ($\rho = 0.55$, p 239 = 0.01) with the number of doses (Figure 3A). Next, correlation analyses were 240 performed to assess the relationships between plasma anti-S, anti-RBD, and anti-N IgG 241 levels with the number of days post last vaccination among the four groups. No 242 significant changes in anti-S and anti-RBD antibody levels were observed with 243 increasing days since vaccination in the control group, regardless of infection history, and in the PVS+I subgroup (Fig 3B). In contrast, significant negative correlations were 244 245 found in the PVS-I subgroup between the number of days post-vaccination and both 246 anti-S (ρ = -0.87, p= <0.01) and anti-RBD (ρ = -0.83, p= <0.01) lgG levels, indicating a decline in these antibodies over time (Fig. 3B). Additionally, as expected, no 247 248 correlations were observed between anti-N IgG levels and days post vaccination across 249 the infection-positive subgroups.

250 The next step was to evaluate if the most recent exposure to SARS-CoV-2 or 251 vaccination correlated with the observed differences in waning patterns. No significant 252 changes in anti-S, anti-RBD and anti-N antibody levels were observed with an increase in the number of days from self-reported viral infection dates among the Control+I and 253 254 PVS+I subgroups (Figure 3C). In addition, the plasma titers of anti-S IgG were 255 significantly lower among the PVS-I cases compared to the Control-I subgroup (p= 256 <0.01) (Figure 3D). However, no differences were observed in the anti-RBD IgG levels 257 across the four subgroups (Figure 3D). As expected, the uninfected PVS-I and the 258 Control-I subgroups had much lower anti-N IgG levels as detected by in-house ELISAs 259 (Figure 3D). To further account for variations in vaccine doses and infection, we 260 developed linear models. Those models indicated that both prior SARS-CoV-2 infection 261 and the number of vaccine doses were significantly associated with higher levels of anti-262 RBD and anti-S IgG (Figure 3E, Table S3).

263 Serological evidence of recent EBV reactivation in PVS

Many human pathogens are ubiquitous, opportunistic, and capable of establishing lifelong infections with alternate latency and reactivation cycles²⁶. These cycles can be triggered by physiological perturbations and can contribute to systemic inflammation²⁷. Therefore, we used serum epitope repertoire analysis (SERA) to evaluate seropositivity against a range of pathogens, including five bacterial, seven parasitic,14 viral and one fungal species. On performing two group analyses, no significant differences were observed for all pathogens, indicating similar levels of prior exposure. (Fig. 4A).

271 Moreover, the seropositivity for each pathogen did not significantly differ from 272 seropositivity in 3448 healthy controls collected before the COVID-19 pandemic (Figure 4A). Given the high seropositivity rates for herpesviruses, we further analyzed the 273 274 seropositivity patterns in combination, for cytomegalovirus (CMV), Epstein-Barr Virus 275 (EBV), Herpes Simplex Virus Type 1 (HSV-1) and Herpes Simplex Virus Type 2 (Figure 276 4B). Significant differences were observed between cases and controls (Mann-Whitney 277 U test, p = 0.01; Figure 4C), where the participants with PVS had higher prevalence of 278 EBV and HSV coinfection, and lower prevalence of EBV and CMV coinfection. There 279 are reports of similarities in symptom phenotypes between PVS and long COVID, as 280 well as evidence of EBV reactivation in long COVID cases, including elevated antibodies against EBV surface protein gp42^{22, 28}. Therefore, we further investigated the 281 prevalence of antibodies against EBV gp42 and identified significantly elevated 282 antibodies in the plasma of PVS participants compared with controls (Kruskal-Wallis 283 test, $p = \langle 0.01, Figure 4D, E \rangle$. As an orthogonal validation, we tested the distribution of 284 linear peptide reactivities across the EBV proteome. Greater reactivities to two peptides 285 286 corresponding to two envelope glycoproteins necessary for B cell infection, gp42 and 287 gp350 were observed. For the gp42 protein, the antibody reactivity to peptide 288 ([VI]XLPHW) was significantly higher among the PVS participants irrespective of the 289 SARS-CoV-2 infection status (Mann Whitney U test, p= <0.01; Figure 4F) and across 290 the four subgroups (Kruskal-Wallis test, p= 0.02; Figure 4G). Greater reactivities were also observed for the gp350 peptide (KXRX[RQ]WXF) among the PVS participants 291 292 compared to controls (Kruskal-Wallis test, p= 0.04; fig 4J) and across the four 293 subgroups (Kruskal-Wallis test, p= 0.03; Figure S3C). Further, anti-gp42 ([VI]XLPHW) 294 reactivity by SERA significantly correlated with anti-gp42 ELISA measurements thus 295 validating the finding (R = 0.37, p = < 0.01; Figure 4I). We also mapped this motif onto 296 available structures of gp42 complexed with EBV gH/gL (PDB: 5T1D), demonstrating its 297 location close to the transmembrane (TM) domain of gp42 and surface-exposed (Figure 298 4H). Study participants with greater antibody reactivity to gp42 as assessed by ELISA 299 also exhibited higher percentages of TNF α -producing CD8+ T-cells (R = 0.47, p= <0.01, Figure 4K). This correlation was not observed for IL-4, IL-6 double-positive CD4 T cells 300 301 (Figure S3D) as was previously reported for long COVID²².

302 Participants with PVS have a distinct set of autoantibodies

303 To evaluate differences in immunoglobulin isotypes and IgG subtypes in the plasma, 304 Luminex assays were performed. No significant differences were observed between the PVS cohort and the controls (Figure S4A). Next, to determine the presence of 305 306 autoantibodies in PVS, we screened for reactivities across a range of 120 known 307 autoantigens using microarrays for three different immunoglobulin isotypes. IgM, IgG, 308 and IqA. We observed significant increases in IqM reactivities against 65 antigens, IqG 309 reactivity against 1 antigen and IgA reactivities against 39 antigens in PVS compared to 310 controls after multiple testing corrections (Table S4). Among these antigens, two 311 showed log₂fold change of greater than 2: anti-nucleosome IgM (Mann-Whitney U test, 312 p= <0.01) and anti-AQP4 IgA (p= <0.01) (Figure S4B). Conversely, control participants 313 exhibited higher reactivities against a total of 21 antigens, 18 of which were of the IgG 314 isotype and five were of IgA isotype with two common antigens between the two 315 isotypes (Table S3). Among these autoantibodies, anti-histone H1 IgG differed by

- 316 greater than log₂fold change (p= <0.01, Figure S4B). Infection-positive subgroups had a
- higher number of reactivity differences between cases and controls (Figure S4C).
- Among the PVS-I participants, anti-calprotectin/S100 IgM, anti-genomic DNA IgA and
- 319 anti-ssDNA IgA reactivities were significantly higher while anti-histone H3 IgG, anti-MBP
- 320 IgA, and anti-PR3 IgA reactivities were higher among the controls-I (Figure S4C, Table
- 321 S5).

322 Circulating hormones and immune modulators in PVS

- 323 Two group analyses of circulating hormones and immune modulators revealed
- 324 significantly lower levels of fetuin A26 and neurotensin (Mann-Whitney U tests, p= 0.01
- and p= 0.03; Figure S5A) in participants with PVS with fold differences of 1.3 and 1.9
- respectively. Additionally, four group analysis was performed to evaluate the impact of
- infection on PVS. Given the smaller number of samples in the four group analyses,
- each panel of analytes was independently evaluated. Significantly lower levels of
- circulating fetuin A36, and neurotensin were also observed among participants with
- PVS with a history of SARS-CoV-2 infection compared to convalescent participants (p=
 0.01 for both analytes; Figures S5B-C). No differences were observed for other factors
- 332 across the subgroups except for β endorphin which was significantly lower in PVS+I
- 333 compared to the control+I group (p= 0.01; Table S7) without any significant differences
- in the two group analyses. No differences were observed in the uninfected subgroups.

335 Increase in circulating SARS-CoV-2 Spike protein in participants with PVS

It has been reported that the BNT162b2 or mRNA-1273 derived S proteins circulate in 336 the plasma of those vaccinated as early as one day after the vaccine and interactions of 337 the circulating protein¹⁶. Hence, we next sought to investigate whether the S1 subunit of 338 339 the SARS-CoV-2 S protein could be detected in the plasma. For this, we used an anti-340 S1 Successive Proximity Extension Amplification Reaction (SPEAR) immunoassay. 341 This method can detect S1 levels as low as 5.64 fM. We conducted a one-sided 342 Kolmogorov–Smirnov test with 1000 permutations to see if the participants with PVS 343 had higher circulating S1. The results indicated that participants with PVS had 344 significantly higher circulating S1 levels compared with the control group (p = 0.01). 345 However, circulating S1 was found in only a subset of participants with PVS at varying 346 concentrations while the control group mostly exhibited a bimodal distribution of zero 347 and non-zero values (Fig. 5A, Table S2). Detectable S1 was found in participants' plasma ranging from 26 to 709 days from the most recent known exposure (Figure 5B). 348 To fully account for the width of this dataset, we included all non-detectable values in 349 350 the analysis and applied a generalized regression model accounting for zero-inflation. We found that both PVS-I and PVS+I groups displayed significantly elevated S1 levels 351 352 than the Control-I group (p = < 0.01 and p = 0.02, respectively) (Figure 5C).

Given the similarities between PVS and long COVID symptoms, one hypothesis in the literature is that shared exposure to the S protein may play a role and several groups have independently reported the presence of circulating S1 & full-length S in long COVID using various detection methods^{16,29.} To further investigate this circulating S1 positivity percentages and levels in the LISTEN cohort subgroups were compared with

an external cohort of healthy, convalescent controls and LC participants (MY-LC

359 cohort). This external cohort, collected from Mount Sinai clinics, included 134

360 healthy/convalescent controls and 134 long COVID participants, which were all assayed

361 together with the LISTEN cohort biospecimens.

362 Among the MY-LC healthy [HC(n= 62); no reported SARS-CoV-2 infection], convalescent controls [CVC(n=72); with reported SARS-CoV-2 infection], and long 363 364 COVID participants, detectable S1 was observed in 30.6% of control participants 365 (41/134; HC= 12.9%; CVC= 22.2%) and 33.6% (45/134) of individuals with long COVID, with mean S1-In(x_{fM} +1) values of 3.72 and 3.85 respectively among those above the 366 367 LLoD. These figures were comparable to the percentages observed among the LISTEN 368 controls (31.8%) and PVS (35.7%) groups. S1 levels were moderately elevated in the 369 MY-LC control group compared to the PVS control group (p=0.06), potentially reflecting 370 differences in exposure timing or SARS-CoV-2 variant of concern (VOC). Despite this, 371 the PVS group demonstrated significantly higher S1 levels compared to both control 372 cohorts (LISTEN-control: p<0.01; MY-LC control: p=0.03; mean S1-ln(x_{fM} +1) = 6.24) 373 (Fig. 5D, Table S8).

374

375 To further validate the findings and to investigate whether the presence of S1 reflects the presence of full-length S protein among the LISTEN participants, we next conducted 376 377 a full-length S SPEAR assay. The calculated values for the LLoD and the LLoQ were 378 1.81 and 8.24 fM, respectively. The full-length S SPEAR assays showed a significant 379 correlation between S and S1 across all samples (Fig. 5E), as well as for values above 380 the LLoD and LLoQ (Fig. 5F, Table S2). Thus, based on SPEAR assays, the individuals 381 with PVS exhibited elevated levels of circulating full-length S compared to healthy 382 controls.

Immune signatures in PVS subgroups based on the presence of circulating S1 protein

385 To gain a clearer understanding of the variability of circulating S1 protein levels, we first 386 compiled a structured timeline that summarizes the self-reported infection dates. 387 vaccine numbers (including types and administration dates), and the number of days 388 between the latest known exposure and the collection of biospecimens. This timeline 389 was organized for both the PVS-I and PVS+I groups (Figure 5G). Notably, we observed 390 that the highest levels of detectable S1 in the PVS-I group were the furthest away from the last known exposure and ranging between greater than 600-700 days (NI-1 & NI-5; 391 392 Figure 5G). This suggested that prolonged antigen persistence might be associated with 393 PVS in a subgroup of patients. Further, most of the PVS+I group participants 394 experienced breakthrough SARS-CoV-2 infections with the exception of two cases. 395 indicating that PVS symptoms started prior to infection (Figure. 5G).

Given the possible heterogeneity in immunological trajectories leading up to PVS and the lack of adequate sample numbers in each PVS subgroup, we next took a more descriptive approach to look for peripheral immune signatures stratified based on their infection status and detectible S1 above SPEAR assay's lower limit of quantitation. In order to begin with a valid method of selection despite the small sample sizes, non-

parametric Mann-Whitney tests were implemented without multiple testing corrections to
 look for differences in distributions of 547 independent variables including GHVAS
 scores, circulating modulator levels, anti-SARS-CoV-2 antibody titers and autoantibody

- 404 scores within both the PVS-I and PVS+I subgroups. Variables showing significant
- 405 differences were further filtered based on greater than 1.5 fold changes to identify the
- 406 distinct determinants associated with each of the four trajectories.

407 Among other factors, the infection-naïve PVS participants with quantifiable S1 had lower 408 GHVAS scores indicative of poorer general health (GHVAS) and lower anti-S IgG titers, 409 whereas higher circulating IL-7 and IL-21 levels were detected compared to other 410 groups (Figure. 5H). Elevated growth hormone levels alongside low TSH levels were 411 also observed among the PVS-I participants with S1 protein in circulation. By contrast, 412 among the infection-positive subgroups, participants with circulating S1 were observed 413 to have higher anti-N antibody titers based on the clinical COBAS assays and in-house 414 ELISA indicative of the contribution of infection history. Moreover, anti-nucleosome IgA 415 levels were higher among those in the PVS+I subgroup without detectable S1 (Figure. 416 5H).

417 Machine Learning-based identification of peripheral immune signatures of PVS

418 To establish a combined global immune signature for persistent symptoms following 419 COVID-19 vaccination, we built machine learning models to predict PVS outcomes. The 420 goal was to identify prominent features that could effectively distinguish PVS from the 421 controls in a parsimonious manner. Given that autoantibodies are also common in the 422 general population at low levels, we chose to exclude them from this analysis in the absence of further validation³⁰. Additionally, we excluded any variables with greater than 423 20% missing values for either the PVS or control groups and SERA variables because 424 425 most of the dataset lacked a significant number of values above LLoD. A total of 193 426 variables were included.

427 Weighted Gene Co-Expression Network Analysis (WCGNA)³¹ was applied to this set to 428 find groups of highly correlated variables (Figure S6B). The final feature set was then 429 created by taking all variables that did not form a tight cluster (141, Module 1) and the 430 eigengene, or first principal component, of every other set of variables (Modules 2-6). 431 This gave us a total of 146 features. Next, we performed classification utilizing Least 432 Absolute Shrinkage and Selection Operator (LASSO) with nested cross-validation. We achieved an overall model accuracy of 78.1% on the validation folds (per-fold range 433 62.5% - 100%; Figure S6A), and an AUC of 0.80 (per-fold range 0.67-1.00, 95% CI = 434 435 0.67-0.92; Figures 6A and S6A). A permutation test further concluded that this 436 performance was significantly above random (p = 0.02; Figure S6A). Segregating test 437 data per-fold by infection status yielded accuracy on the infected population of 86.5% 438 and the uninfected population of 73.3%. (Figure S6A) Per-class accuracy showed some 439 divergence, with PVS accuracy of 85.7% vs. control accuracy of 63.6%. This was due to 440 a subset of the controls clustering primarily with PVS samples, making control 441 classification more difficult (Figure 6B).

442 The LASSO model selected 21 features using all data, consisting of CD4 T cell 443 populations, immune modulators, neuropeptides, and antibodies (Figure 6C). Among 444 the features selected, there were several negatively associated with PVS. These 445 included circulating factors sIL-1R1, fetuin A36, granzyme A and B, FLT-3L and 446 HMGB1, and subsets of circulating CD4 T cell populations (CXCR3⁺ CD4 T cells CD4⁺ 447 T_{EMRA} cells, and IL-4⁺/IL-6⁺ CD4 T cells). Multiple hormones and neuropeptides 448 synthesized by the hypothalamus, pituitary glands, and the peripheral nerves and 449 involved in nociception and stress responses such as oxytocin, neurotensin, β 450 endorphin, melanocyte-stimulating hormones (MSH), and substance P were also 451 negatively associated with PVS and formed a single module (Module 6) (Figure 6D). 452 The features that were positively associated with PVS were anti-EBV gp42 IgG titers, 453 MMP1 levels, and TNFa⁺ CD8 T cells. We observed that no single variable or small 454 subset of variables had a particularly strong differentiating power.

455 **DISCUSSION**

456 In this study, we examined symptoms and circulating immune factors and cell types associated with chronic illness following COVID-19 vaccination. Post-acute conditions 457 458 following COVID-19 vaccination have been reported for multiple vaccine platforms including mRNA and adenoviral-vectored vaccines^{6,7,8,9}. We observed that the general 459 health status of the PVS participants was far below the general US population average³² 460 461 based on the GHVAS scores. The patient-reported outcome scores from the 462 PROMIS29 domains were also indicative of lower quality of life. A large fraction of 463 individuals reported the onset of symptoms to be as early as within one day of COVID-464 19 vaccination. Compared with controls, participants with PVS had reduced CD4⁺ T cell subsets in circulation (both Th1 and Th2) and an increased percentage of TNFα⁺ CD8 T 465 466 cells. Among cell populations of myeloid origin, cDC2 cells were reduced, and nonclassical monocytes were elevated among PVS participants. Lower S-specific IgG 467 468 levels were observed in PVS mainly due to the limited vaccine doses received. 469 Additionally, serological evidence for recent EBV reactivation was also observed. Using machine learning approaches, we further identified a set of 21 core predictive features 470 471 of PVS status within the LISTEN PVS cohort with potential for further validation and 472 biomarker identification. Most notably, we found elevated levels of spike (S1 and fulllength S) in circulation up to 709 days after vaccination among a subset with PVS, even 473 474 in those with no evidence of detectable SARS-CoV-2 infection.

To date, only a few studies have investigated the immunological mechanisms 475 associated with PVS ^{11,12, 33}, and no consensus definition of this syndrome exists ^{10, 34}. 476 477 Previous studies on PVS have found the presence of elevated levels of inflammatory 478 cytokines such as CCL5, IL-6, and IL-8; IgG subclass imbalances, high angiotensin II 479 type 1 receptor antibodies (AT1R), and the presence of spike S1 in non-classical monocytes, among others^{11,12,33}. In the LISTEN PVS cohort, we did not find evidence of 480 elevation in inflammatory cytokines or IgG subclass imbalances. This difference may be 481 482 due to the heterogeneity of the cohorts studied, vaccine types or the time from 483 vaccination.

484 The demographics at risk of developing PVS and symptom manifestations are similar to those of long COVID ^{10, 35,36,37}. Whether this reflects overlapping underlying 485 mechanisms such as persistent S protein remains to be determined. Circulating S1 486 487 antigen has been detected in mRNA-1273 vaccine recipients without a prior history of 488 viral infection within an average of five days after the first injection and becomes 489 undetectable by day 14¹⁶. By contrast, in our study, significantly elevated levels of 490 circulating S1 and S were observed in a subset of PVS participants both in the infection-491 naive and infection-positive groups up to 709 days post-exposure. This is in line with the findings of S1 persistence in monocytes in people with PVS¹². Circulating full-length S 492 493 has also been detected in cases of post-vaccination myocarditis³⁸. Given the striking 494 similarities between long COVID and PVS symptoms, there has been speculation regarding the potential causal role of the persistent presence of spike protein³⁹ driving 495 496 the chronic symptoms. Additionally, a recent study has shown spike protein binding to 497 fibrin resulting in inflammation ex vivo and neuropathy in animal experiments⁴⁰. S1 498 subunit is sufficient to cause formation of trypsin-resistant fibrin clots when added to 499 plasma from healthy individuals⁴¹. The persistent presence of S1 and the full-length 500 spike protein across multiple long COVID cohorts lends further support to this hypothesis^{42,43,44,45}. Additionally, our results using the S1 SPEAR assays indicate higher 501 502 percentages of individuals with S1 antigen persistence among both MY-LC controls and 503 the long COVID group compared to other studies despite reporting mild acute phase symptoms reported by these participants ^{44,45,43}. This may be attributed to variations in 504 505 assay sensitivity or variations in vaccine doses and re-infection rates across the 506 cohorts. Despite higher antigen persistence rates, the PVS participants with detectable 507 S1 had higher mean circulating S1 levels compared to the LC participants. In our PVS-I 508 group, anti-S antibody levels were lower in those with circulating S1. Why persistent 509 spike antigen fails to elicit an antibody response, and what the source of persistent 510 spike in circulation is, requires further investigation.

511 Immunophenotyping of circulating PBMCs from participants with PVS revealed lower 512 levels of circulating CD4⁺ Tem, CXCR3 expressing CD4, as well as IL-4⁺/IL-6⁺ double positive CD4 T cell populations and higher TNFa secreting CD8 cell populations. This is 513 514 in contrast to our observations of higher levels of IL-4⁺/IL-6⁺ CD4 T cell populations in 515 the long COVID cohort²². Elevated levels of anti-S IgG have been observed in long COVID patients, possibly reflecting persistent S protein^{22,42}. By contrast, within the PVS-516 517 I subgroup, the lower levels of anti-S antibodies were associated with a reduced number 518 of vaccinations. Moreover, PVS participants in this study did not exhibit decreased 519 circulating cortisol levels or increased fetuin A36 levels, as reported for long COVID^{22,46}.

520 While our panel of autoantigens did not include any G protein-coupled receptors included in the Semmler et al study¹¹, among those identified to be elevated in PVS in 521 this study, namely, anti-nucleosome IgM and anti-AQP4 IgA require further 522 523 investigation. Higher monomeric IgM has been reported in autoimmune disease patients and circulating nucleosomes have also been shown to trigger cGAS (cGMP-524 525 AMP synthase) immune responses^{47,48}. Along similar lines, anti-AQP4 IgG is most 526 commonly associated with neuromyelitis optica spectrum disorder (NMOSD), however there are not any reports on IgA isotype⁴⁹. In addition, similar to what has been reported 527

in long COVID, elevated antibody responses against EBV lytic antigen were detected
 among seropositive participants with PVS, suggesting recent reactivation, ^{22,28}.

530 This study has several limitations. Our small sample size could have affected the 531 robustness of the machine learning approaches and prediction of specific immune 532 features in PVS. Due to the limited sample size, we might have failed to capture small 533 but potentially important immune features associated with PVS. Analysis of autoimmune 534 antibody reactivity was restricted to antigens reported in other autoimmune diseases, 535 limiting the discovery of a broader range of autoantibodies. While we used two 536 independent approaches to ascertain previous infection with SARS-CoV-2, negative 537 results cannot definitively preclude prior infection that occurred in the distant past. Other 538 limitations include the lack of analysis of the host genetics that might account for PVS 539 susceptibility, or any other conditions, such as non-prescription drugs or asymptomatic 540 infection with other pathogens that were not tested in our analysis might have 541 predisposed an individual to develop chronic illness following COVID-19 vaccination. 542 While we observed elevated levels of S1 among those with PVS compared to LC, 543 additional studies with matched patient demographic profiles are necessary to 544 determine whether this represents genuine differences or is simply a result of random 545 variation. Finally, we do not know whether our findings extend beyond COVID-19 vaccination since we did not include PVS following other vaccines. 546

547 In summary, by revealing distinct immunological features of PVS, this study helped

548 generate hypotheses regarding the underlying pathobiology of this condition.

549 Understanding such mechanisms will help improve the overall safety profile of COVID-

550 19 vaccines and support public health strategies that maximize vaccine efficacy while

551 minimizing adverse effects. However, this study is early-stage and requires replication

and validation. We emphasize the critical task of discerning between meaningful results

and random fluctuations in the data. Future work is essential to elucidate these

relationships. As the global community continues to navigate the challenges of COVID-

555 19 and long COVID, a deeper understanding of vaccine-related immune responses will 556 be essential in refining vaccination practices and ensuring their long-term success.

557 **RESOURCE AVAILABILITY**

558 The flow data repository ID is FR-FCM-Z8FZ for all the raw .fcs files generated for flow

559 cytometry analyses at the Flow Repository platform ⁵⁰. Custom codes used for

560 computational analyses will be made available by authors upon reasonable request.

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568 AUTHOR CONTRIBUTIONS

569 Experimental conceptualization, methodology and data visualization were performed by 570 B.B, P.L., V.B., V.S.M., A.T., K.W. W.B.H, K.G., K.K. and J.R. Formal analysis was 571 conducted by B.B, P.L., V.B., V.S.M., A.T., K.W. W.B.H and K.G. Resources were 572 provided by H.M.K and A.I. Review of survey responses and electronic health records 573 were performed by B.B. Sample collection, processing and biospecimen validation were performed by B.B., T.J.T, P.B. and C.G., The original draft was written by B.B. and A.I. 574 575 Review and editing were performed by B.B., P.L., V.B., V.S.M., A.T., K.W. W.B.H, K.G., K.K., J.R., D.H., B.D., L.G, H.M.K, and A.I. Data curation was performed by B.B., 576 577 W.B.H, F.W. L.G. M.S., and H.M.K. and A.I. supervised the study. Funding was 578 acquired by A.I.

579 DECLARATION OF INTERESTS

In the past three years, H.M.K. received expenses and/or personal fees from United 580 Health, Element Science, Evedentifeve and F-Prime; he is a co-founder of Refactor 581 Health, HugoHealth and MedRxiv; and is associated with contracts, through Yale New 582 583 Haven Hospital, from the Centers for Medicare & Medicaid Services and through Yale University from the Food and Drug Administration, Johnson & Johnson, Google and 584 585 Pfizer. A.I. co-founded and consults for RIGImmune, Xanadu Bio and PanV and is a 586 member of the Board of Directors of Roche Holding and Genentech. B.D. reports being 587 a plaintiff in a lawsuit against AstraZeneca alleging breach of contract following her 588 volunteer participation in 2020 in their COVID-19 vaccine clinical trial. She is also a co-589 chair of REACT19, a non-profit organization offering financial, physical, and emotional 590 support for those suffering from long term COVID-19 vaccine adverse events. D.H 591 serves on the Advisory Board of REACT19.

592

593 SUPPLEMENTAL INFORMATION

- 594 Document S1. Figures S1-S6
- 595 Excel file S2 containing additional data.
- 596 Table S1: Prevalence of PVS symptoms at the time of recruitment
- Table S2: Demographic, clinical and immunological data on all participants included inthis study
- 599 Table S3: Generalized linear modeling analyses results
- 600 Table S4: Two group autoantibody analysis results
- 601 Table S5: Four group autoantibody analysis results
- Table S6: Two group analysis results of soluble modulators
- 603 Table S7: Four group analysis results of soluble modulators

604 Table S8: External MY-LC cohort SPEAR S1 assay results

605 FIGURES



606

Figure 1: Study overview and cohort information. A. Summary of the study design, 607 cohorts and assays included in this study **B**. Stacked bar plots showing the number of 608 males and females in the four subgroups. C. Scatter plots showing the distribution of 609 610 age across subgroups. D. Boxplots showing self-reported General Health Visual Analogue Scales (GHVAS) scores self-reported on the day of collection. E. Scatter plots 611 showing the Patient-Reported Outcomes Measurement Information System 29-Item 612 613 Profile Measure (PROMIS-29 v2.0) score differences across domains. Differences in biological sex among the four groups was assessed using Fisher's exact test. For the 614 rest, significance was assessed using either Mann-Whitney U and Kruskal-Wallis tests 615 616 with Benjamini-Hochberg false-discovery rate (FDR) correction for multiple comparisons wherever necessary. Only significant differences are highlighted (p values 617 \leq 0.05). **F.** A pie chart showing the proportion of index vaccine doses within the PVS 618 619 cohort. G. Lines plots showing the distribution of days post-vaccination that the 620 participants develop any or severe symptoms associated with PVS.

622



623

Figure 2: Immune cell feature of myeloid and lymphoid cells in PVS patients. A-B. 624 625 Violin plots of myeloid peripheral blood mononuclear populations (PBMCs) plotted by groups as percentages of respective parent populations (live cells). C. Violin plots of B 626 lymphocyte subsets from PBMCs plotted as percentages of respective parent 627 628 populations (total B cells). D. Violin plots of various CD4 T cell subsets. E. Violin plots of various cytokine-producing CD4 T cell subsets. F. Violin plots of various CD8 T cell 629 subsets and cytokine-producing CD8 T cell subsets. Significance differences were 630 631 assessed using Mann-Whitney U tests with Benjamini-Hochberg false-discovery rate (FDR) correction for multiple comparisons. G. Linear regressions of TNFa producing 632 CD8 T cells and IFNy producing CD8 T cells. Spearman's correlation was calculated 633 634 with corresponding p-values. Dotted lines depict linear regressions, with the area inside representing 95% CI. 635



637 Figure 3: Plasma reactivity to SARS-CoV-2 antigens. A. Correlation comparisons of 638 virus-specific ancestral anti-S and anti-RBD IgG levels by number of COVID vaccine 639 doses. B. Correlation and linear regression comparisons of virus-specific ancestral anti-640 S, anti-RBD and Anti-N IgG levels by days post last vaccination. C. Correlation and 641 linear regression comparisons of virus-specific ancestral anti-S, anti-RBD and Anti-N 642 IgG levels by days post last exposure. Regression lines are shown colored by groups 643 Control-I, Control+I, PVS-I, and PVS+I as indicated in the figure legend. Spearman's p 644 coefficients and linear regression significance are colored; accordingly, shading 645 represents 95% confidence interval. D. Plasma reactivity to ancestral S, RBD, and N 646 proteins measured by ELISA are shown by groups Control-I, Control+I, PVS-I, and 647 PVS+I. Significance of difference in group median values was assessed using Kruskal-648 Wallis with Benjamini–Hochberg false-discovery rate (FDR) correction for multiple comparisons. The central lines indicate the group median values, and the whiskers 649 650 show the 95% CI estimates. E. Generalized linear model analysis for virus-specific ancestral anti-S, anti-RBD and Anti-N IgG levels. Model predictors are indicated on the 651 652 x axis and include days from vaccination (DFV) among others. Predictors with $p \le 0.05$ 653 are highlighted in pink to indicate significance, while non-significant predictors are 654 displayed in black. Detailed model results are shown in table S3. 655



Figure 4: Elevated responses to Epstein Barr Virus in PVS patients. A. Proportion 657 of each group (PVS: n = 42, control: n = 22, pre-pandemic healthy control: n = 3448) 658 seropositive for each of 31 common pathogen panels as determined by SERA, grouped 659 660 by pathogen-type. Statistical significance determined by Fisher's exact test corrected 661 with FDR (Benjamini Hochberg). Star indicates panels for which pre-pandemic healthy 662 controls were not analyzed. B. Heatmap showing supervised clustering of SERAdetermined seropositivity to EBV, CMV, HSV-1, and HSV-2 across samples. Clusters 663 664 were named for their herpesvirus dominance and are labeled accordingly. C. Herpes seropositivity composition for each cohort. Significance of relative enrichment for each 665 cluster was calculated using Chi-square test of observed composition vs. expected 666 667 composition. **D**, **E** Plasma reactivity to EBV gp42 protein measured by ELISA shown by cohort, PVS and Control (D) and by groups Control-I, Control+I, PVS-I, and PVS+I (E). 668 669 **F.** SERA-derived z scores for the gp42 motif [VI]XLPHW among EBV-seropositive individuals only, plotted by cohort, n = 20 (Control), n = 38 (PVS) (F) and group, n = 11670 (Control-I), n = 9 (Control+I), n = 12 (PVS-I), n = 26 (PVS+I) (G). The dashed line 671 672 represents the z-score threshold for epitope positivity defined by SERA. H. Three-673 dimensional mapping of the PVS-enriched linear peptide sequence [VI]XLPHW (purple) 674 onto EBV gp42 (blue) in a complex with gH (light grey) and gL (dark grey) (PDB: 5T1D). 675 I. Relationship between EBV gp42 [VI]XLPHW SERA z score and plasma concentration 676 of anti-gp42 IgG. J. SERA-derived z scores for the gp350 motif KXRX[RQ]WXF among EBV-seropositive individuals only, plotted by cohort. The dashed line represents the z-677 678 score threshold for epitope positivity defined by SERA. K. The relationship between 679 plasma concentration of IgG against EBV gp42 and the percentage of TNFα CD8+ T 680 cells (of total CD8+ T cells). For all box plots, the central lines indicate the group median 681 values, the top and bottom lines indicate the 75th and 25th percentiles, respectively, the 682 whiskers represent 1.5× the interguartile range. Each dot represents one individual. 683 Statistical significance of the difference in median values was determined using 684 Kruskal-Wallis tests with Post hoc Dunn's test and Bonferroni-Holm's method to adjust 685 for multiple comparisons. Correlation was assessed using Spearman's correlation. The black line shows linear regression, and shading shows the 95% CIs. 686 687



⁶⁸⁸

Figure 5: Circulating SARS-CoV-2 Spike protein. A. Density plots describing the
 distribution of circulating S1 levels across controls (n= 22) and PVS (n= 42) measured
 by SPEAR assays. B. Levels of circulating S1 in plasma days post last known self reported exposure. C. Circulating S1 levels measured by SPEAR assay are shown
 across groups Control-I, Control+I, PVS-I, and PVS+I. A parametric test incorporating a
 zero-inflated Poisson model was used to account for the excess zeros in the data. D.
 Circulating S1 antigen levels above LLoD across cohort groups, MY-LC-HC/CVC (n=

696 41), MY-LC-LC (n=45), Control (n= 7), PVS (n= 15). E. Correlation between circulating 697 Spike protein assays using antibodies for the S1 subdomains and S1& S2 subdomains (full length Spike). F. Correlation between circulating Spike protein assays among 698 699 samples with values above LLoD and LLoQ. Correlations were assessed using 700 Spearman's rank correlation. G. A participant-specific graphic representation illustrating 701 key events including vaccination, infection, sample collection and the presence or 702 absence of anti-N antibodies and circulation spike protein. Each participant is 703 represented by a single horizontal line and each vaccination event is marked by 704 triangles, and the index doses are highlighted in blue. The number of days between 705 latest exposure and biospecimen collection is also indicated. The abbreviations for the 706 vaccine types are: J for Jcovden (Johnson & Johnson), M for Spikevax (Moderna), and 707 P for Comirnaty (Pfizer-BioNTech). H. A classification tree of PVS participants based on infection status with or without detectable S1 in circulation and a heatmap of distinct 708 demographic and immunological variables that differentiate PVS within the infected and 709 710 uninfected subgroups based on Mann-Whitney U tests. 711



714

713

715 Figure 6: Machine Learning Results and Prominent Feature Identification. A.

Confusion matrix inspired barplot describing actual and predicted labels for PVS and

control with a classification threshold of 0.65. The p-value from a permutation test is

also displayed to motivate model legitimacy. Boxplot displaying accuracy and AUC for

each outer fold of nested-cross validation with both inner and outer loops set to 10.
 Mean and median accuracy and AUC stats are also shown. **B.** t-SNE plot showing a

two-dimensional similarity-based representation of the LASSO variable space. **C**.

722 Scatter plot containing all variables identified through either LASSO or marginal logistic

regression models. Features only identified by marginal test, and not by LASSO are

- displayed in the center panel with coefficient of 0. Points with a shared color display
- 725 Pearson correlation > 0.4. D. Correlation heatmaps of LASSO-selected and WGCNA
- module six features, with features clustered through hierarchical clustering.
- 727
- 728

729 MATERIALS AND METHODS

730 Ethics Statement and Study Design

731 A retrospective, decentralized, exploratory case-control study was conducted on 732 vaccinated participants \geq 18 years of age enrolled in the LISTEN study which recruited 733 individuals from the Hugo Health (Guilford, CT, USA) Kindred community ⁵¹. This study 734 was approved by the Yale University Institutional Review Board on April 1, 2022 (HIC# 2000032207) and conforms to the Declaration of Helsinki and STROBE reporting 735 736 quidelines^{52,53}. Informed consent was provided by participants electronically. Only those 737 residing in contiguous US states were included in this arm of the study. Each participant 738 was assigned a unique identifier as part of the de-identification protocol managed by the 739 study coordinator. These identifiers were kept confidential and were not accessible to 740 anyone outside the research team.

- 741 A subset of the MY-LC cohort recruited from within the Mount Sinai Healthcare System
- and the Centre for Post COVID Care at Mount Sinai Hospital which included healthy
- and convalescent controls and long COVID participants were included for validation of
- one of the assays. The biospecimens were collected between 2021 and 2023 as
- approved by the Mount Sinai Program for the Protection of Human Subjects (#20-
- 01758). The recruitment for the MY-LC cohort has been elaborately described in the
- 747 Klein et al study ²².

748 Inclusion and Exclusion Criteria

749 Given the exploratory nature of this study, no power analysis was done. Only US 750 residents were included. Cases were defined as participants who self-reported having 751 PVS after administration of the primary or booster series of COVID-19 vaccine and 752 controls were vaccinated individuals who reported no adverse events. The cohort 753 consisted of both individuals with self-reported history of SARS-CoV-2 infection or 754 otherwise. Participants with a medical history of arthritis, asthma, autoimmune disease, 755 blood clots, cancer, cerebrovascular conditions, chronic lung disease (including 756 emphysema, chronic bronchitis, chronic obstructive pulmonary disease), cystic fibrosis, diabetes, Ehlers Danlos Syndrome, myocardial infarction, heart conditions, heart failure, 757 758 history of organ transplant, immunocompromised state, kidney disease, liver disease, 759 Lyme disease, mast cell activation syndrome, myalgic encephalomyelitis/chronic fatigue 760 syndrome, neurologic conditions, postural orthostatic hypotension, spinal disorder(s), 761 tremors/Internal vibrations, hyperthyroidism, hormonal migraines, schizophrenia 762 spectrum disorders, bipolar disorders and somatic symptoms before 2020 were 763 excluded. Participants who self-reported having both long COVID and PVS were also 764 excluded from this study. Among the selected cases, those with GHVAS scores of ≤75 at the time of screening were included, while among the controls, only those with 765 GHVAS scores of ≥80 or general health of very good/excellent were included. 766

- 767 Electronic health records, shared by participants via Hugo Health were accessed to
- obtain a participant-wise list of ongoing medications to exclude participants on
- 769 immunosuppressants.

770 Survey Instruments

771 Members of the Hugo Health Kindred community were offered a set of specialized 772 baseline survey instruments. The first questionnaire was geared towards gathering 773 information on demographic variables such as age, biological sex, ethnicity as well as 774 self-reported medical conditions such as long COVID and PVS. The subsequent 775 surveys were on self-reported SARS-CoV-2 infection, vaccination profiles and medical history, comorbidities, PVS symptoms ¹⁰. These survey responses were analyzed, and 776 777 a subset of the participants were included in this arm of the study based on the inclusion 778 and exclusion criteria mentioned above.

- At the time of biospecimen collection, the participants were asked to rate their general
- health status using the following options: excellent, very good, good, fair, poor and do
- not know and a general health visual analogue scale (GHVAS) having a numerical
- value between 0 to 100, where higher scores represented better health. These
- 783 responses were recorded by ExamOne phlebotomists on LISTEN-ExamOne requisition
- forms and shipped with biospecimens. Participants also completed the Patient-Reported
- 785 Outcomes Measurement Information System-29 version 2 questionnaire (PROMIS-29
- v2) through the Hugo Health interface within 24 hours of biospecimen collection.

787 Biospecimen collection

- 788 Whole blood samples were collected in lithium-heparin-coated vacutainers (BD 367880,
- BD Biosciences) from participants' homes or agreed upon locations, by ExamOne
- 790 phlebotomists (Quest Diagnostics). Biospecimens were shipped overnight at regulated
- temperatures to Yale University in New Haven, CT on the day of collection. Upon
- receipt, collection tubes were de-identified according to protocol and study identifiers
- were provided. Samples were processed thereafter and within 48 hours of collection.
- Biorender⁵⁴ was used to create a graphical schematic on study design, cohorts and
 assays.

796 Plasma and PBMC isolation

797 Plasma and peripheral blood mononuclear cells (PBMC) were isolated following protocols published previously²². Briefly, whole blood samples were centrifuged at 650g 798 799 for 10 minutes at room temperature without brake. Plasma from each participant were 800 pooled together to a single 15 mL polypropylene conical tube, aliquoted and stored at -801 80°C. PBMCs were subsequently isolated using SepMate tubes (StemCell) following manufacturer's instructions. Freshly isolated PBMCs were used for downstream flow 802 803 cytometry analyses or were gradually cryopreserved using a freezing container and 804 then transferred to liquid nitrogen tanks for long-term storage.

805

806 Anti-SARS-CoV-2 nucleocapsid (N) antibody testing

- 808 The EUA-cleared Elecsys Anti-SARS-CoV-2 immunoassay (Roche Diagnostics,
- 809 Indianapolis) was used to independently determine prior history of SARS-CoV-2
- 810 infection among participants at the Yale-New Haven hospital clinical research
- 811 laboratory. Plasma aliquots were analyzed using this double-antigen sandwich (DAGS)
- 812 immunoassay that measures total high affinity antibodies directed against the viral N
- 813 protein. The COBAS e801 platform (Roche Diagnostics, Switzerland) was used
- 814 according to the manufacturer's instructions as validated earlier 25 .
- 815

816 Both self-reported infection history and the results of this assay were used to determine

- a participant's history of SARS-CoV-2 infection. Participants were classified as infection
- positive if they reported a past infection but had no detectable anti-N antibodies, or if
- 819 they had detectable anti-N antibodies regardless of not reporting a prior infection.

820 Flow cytometry

Flow cytometry was performed on an Attune NxT Flow Cytometer (Thermo Fisher)

- using NxT v 5.31.0 software as described previously²². In brief, 1 to 2 million freshly
- isolated PBMCs were plated in round-bottom 96 well plates for surface and intracellular
- staining. Dead cells were stained using Live/Dead Fixable Aqua (ThermoFisher) on ice
- followed by a wash and Fc receptor blocking (Human TruStain FcX[™], Biolegend). A
- total of 30 fluorophore conjugated antibodies were used in three different combinations
 for surface staining to define myeloid. B and T cell lineages. Quantitation of intracellular
- 828 cytokine staining with stimulation was executed by incubating cells in 1× cell stimulation
- 829 cocktail (eBioscience) without protein transport inhibitor for 1 hour in 10% FBS cRPMI
- followed by 4 hour incubation in 1x stimulation cocktail with protein transport inhibitor(
- eBioscience) in 10% FBS cRPMI at 37°C. Cells were permeabilized using 1×
 permeabilization buffer from the FOXP3/Transcription Factor Staining Buffer Set
- (eBioscience) at 4 °C and stained after Fc receptor blocking. FlowJo v.10.8 (BD) was
- used for data analysis. All the markers and gating strategies were as described
- 835 previously and noted in the Flow Repository (ID: FR-FCM-Z8FZ)²².
- 836

837 SARS-CoV-2 antibody specific immunoassays

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839 Enzyme-linked immunosorbent assays (ELISA) were run as described earlier ⁵⁵. Briefly, 840 96-well MaxiSorp plates (Thermo Fisher Scientific, #442404) were coated at a 841 concentration of 2 µg/ml in PBS with recombinant SARS-CoV-2 S protein (50 µl per 842 well; ACROBiosystems, #SPN-C52H9-100 µg) or RBD (ACROBiosystems, #SPD-843 C52H3-100 µg) or nucleocapsid protein (NUN-C5227-100 µg, ACROBiosystems) and 844 incubated overnight at 4°C. After removing the coating buffer, the plates were blocked 845 with 200 µl of blocking solution (PBS with 0.1% Tween-20 and 3% milk powder) for 1 846 hour at room temperature (RT). Plasma samples were diluted 1:1500 (for Anti-Spike 847 and Anti-RBD) and 1:400 (for Anti-N) in buffer (PBS with 0.1% Tween-20 and 1% milk 848 powder), and 100 µl of the diluted plasma were added to the wells for 2 hours at RT. A 849 standard curve was generated using serially diluted Human anti-Spike [SARS-CoV-2 Human Anti-Spike (AM006415) (Biolegend, #938602)] and anti-nucleocapsid SARS-850 CoV-2 human anti-nucleocapsid (1A6) (ThermoFisher Scientific, #MA5-35941). After 851

three washes with PBS-T (PBS with 0.1% Tween-20), 50 µl of horseradish peroxidaseconjugated anti-Human IgG antibody (GenScript #A00166; 1:5000) diluted in buffer was
added to each well and incubated for an hour. The plates were then developed with 100
µl of TMB (3,3',5,5'-tetramethylbenzidine) Substrate Reagent Set (BD Biosciences
#555214) and read at wavelengths of 450 and 570 nm.

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858 Linear peptide profiling & ELISA validation

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860 Plasma aliguots were shipped to Serimmune on dry ice and the SERA assays were 861 used to discover pathogen specific immunoglobulin G responses to linear peptides as described earlier ^{22,56}. The published PIWAS method⁵⁷ was used to identify candidate 862 SARS-CoV-2 antigens and epitopes from the UniProt reference proteome 863 864 (UP000464024). Briefly, the 12mer peptide sequences captured for each sample were broken down into 5- and 6-mers (k-mers) and tiled onto the proteome. An enrichment 865 for each k-mer was then calculated as described ⁵⁷ based on the frequency of that kmer 866 867 in the dataset relative to the frequency expected by random chance. Outliers were 868 identified by comparing the enrichment to a large pre-pandemic cohort (n = 1.500). An 869 outlier threshold and outlier sum statistic were then derived, and a p value was 870 calculated by comparing the observed outlier sum statistic to the null distribution. Outlier 871 sum threshold was set to the 99.5th percentile value of all positions with FDR-adjusted 872 p > 0.001. All sequence positions that exceeded both thresholds were identified, and 873 adjacent positions were merged into regions representing candidate epitopes on the 874 protein.

875

876 ELISA Validation

877 96-well MaxiSorp plates (Thermo Scientific #442404) were coated with 200 ng per well 878 of recombinant EBV gp42/ protein (MyBioSource #MBS430545) in PBS and incubated 879 overnight at 4 °C. Plates were emptied and incubated with 3% Omniblock non-fat dry 880 milk (American Bioanalytical #AB10109-00100) in PBS with 0.1% Tween 20 (Sigma-881 Aldrich, #P7949) for 2 hours at RT and then overnight at 4°C. Plates were washed 3× 882 with 200 ul wash buffer (PBS 0.1% Tween 20). Samples and a serial dilution curve of 883 monoclonal antibody against EBV gH/gL/gp42 (MyBioSource #MBS430548) were 884 diluted in 1% Omniblock non-fat dry milk in PBS and added to the plate to incubate for 1 885 hour at RT. Plates were washed 3× with a wash buffer. Goat anti-human IgG Fc HRP 886 (Sigma Aldrich, #AP112P) diluted 1:5000 or goat anti-mouse IgG Fc HRP (Southern 887 Biotech #1030-05) diluted 1:6000 in 1% Omniblock non-fat dry milk in PBS was added 888 to the plates and incubated for 50 minutes at RT. Plates were washed 5×. Plates were 889 developed with 50 µl of TMB Substrate Reagent Set (BD Biosciences #555214) and the 890 reaction was stopped after 5 min by the addition of 2 N sulfuric acid. Plates were then 891 read at a wavelength of 450 nm.

892 Immunoglobulin Isotyping & Autoantigen arrays

Plasma aliquots were shipped to Eve Technologies on dry ice. Two assays were run to
 determine the concentrations of the different Ig subtypes and IgG isotypes in the

plasma, namely, Immunoglobulin Isotyping 6-Plex Custom Assay (IgG1, IgG2, IgG3,
IgG4, IgA, and IgM) and the Human-IgE-1-Plex-Custom-Assay.

897 Multiplex IgM and IgG autoantibody reactivities were analyzed at the Microarray Core facility at the University of Texas Southwestern Medical Center, Dallas, TX, USA as 898 899 described previously ^{58,59}. Plasma aliquots were shipped to the facility on dry ice. A 900 total of 120 human antigens, autoantibodies against which have been associated with 901 various immune-related diseases or allergic disorders were included, namely, ACE2, 902 Aggrecan, Albumin, α Fodrin, Amyloid $\beta(1-40)$, Amyloid $\beta(1-42)$, AQP4, BAFF, 903 BCOADC-E2, BPI, Calprotectin/S100, CD4, CD40, CENP-A, CENP-B, collagen I, 904 collagen II, collagen III, collagen IV, collagen V, Complement C1g, Complement C3, 905 Complement C4, Complement C5, Complement C6, Complement C7, Complement C8, 906 Complement C9, CRP, Cytochrome C, DFS70, dsDNA, EJ, Factor B, Factor H, Factor I, 907 Factor P, fibrinogen Type I-S, fibronectin, GAD65, GBM, genomic DNA, Gliadin, gp210, 908 GP2, H/K-ATPase, histone, histone H1, histone H2A, histone H2B, histone H3, HSPG, 909 IA-2, IF, IFNy, IL-6, IL-12/NKSF, IL-17A, Jo-1, KS, KU (P70/P80), La/SS-B, Laminin, LC1, LKM 1, LPS, lysozyme, M2, MBP, MDA5, Mi-2, mitochondrion, MPO, mvosin. 910 Nrp1, nucleolin, nucleosome, Nup62, NXP2, OGDC-E2, P0, P1, P2, PCNA, PDC-E2, 911 912 PL-7, PL-12, PM/ScI-75, PM/ScI 100, PR3, proteoglycan, prothrombin, Ro/SS-A(52 913 kDa), Ro/SS-A(60 Kda), SAE1/SAE2, ScI-70, SLA/LP, Sm, Sm/RNP, SmD, SmD1, 914 SmD2, SmD3, SP100, SRP54, ssDNA, Tau, thyroglobulin, TIF1y, TLR4, TNFa, TPO, 915 tTG, U1-snRNP 68/70kDa, U1-snRNP A, U1-snRNP C, U-snRNP B/B', Vimentin, 916 Vitronectin and ß2-Glycoprotein 1) were printed on 16 pad nitrocellulose Fast Analyte 917 Scanning Technology (FAST) slides. Eight positive control proteins (human IgM and 918 IgG, anti-human IgM and IgG) were also imprinted on the arrays as positive controls. 919 Briefly, DNAse I (Thermo Fisher, #AM2222) treated plasma samples were applied onto 920 the autoantigen arrays at 1:50 dilution. Binding was detected using cy5-labeled anti-921 human IgM and cy3-labeled anti-human IgG antibodies (Jackson ImmunoResearch 922 Laboratories, #IgM 109-606-129, #IgG-109-166-098), and the array slides were 923 scanned at wavelengths of 532 nm for and 635 nm for cy5 and cy3 respectively using a 924 Genepix 4400A scanner. Genepix Pro v7.0 software (Molecular Devices) was used to 925 analyze the image and generate the genepix report (GPR) files. The net signal intensity 926 (NSI) values for each antigen were calculated by subtracting the negative control 927 values. Normalized NSI values calculated using built-in positive controls were used for 928 case-control analyses. Signal-to-noise ratios (SNR) for each antigen were calculated 929 using the formula: (foreground median value - background median value)/standard 930 deviation. Antigens with SNR<3 in more than 10% of all samples were excluded. Log₂ 931 fold-change (FC) values between cases and controls were calculated.

932 Multi-target soluble plasma factor analysis

933 Plasma aliquots stored at -80 °C were shipped to Eve Technologies on dry ice. All the

samples were run in the same batch to avoid batch effects. The analytes covered by the

following panels were assayed: Human Cytokine/chemokine 96-Plex Discovery Assay

936 (HD96), Human Cytokine/chemokine Panel 4 12-Plex Discovery Assay(HDIV12),

Human Adipokine 5-Plex Discovery Assay (HDADK5), Human Cardiovascular Disease

- 939 Discovery Assay (HDCMPEX1), Human MMP and TIMP 13-Plex Discovery Assay
- 940 (HMMP/TIMP-S,P), Human Neuropeptide 5-Plex assay (HNPMAG-35K), Human
- 941 Soluble Cytokine Receptor 14-Plex Discovery Assay (HDSCR14), Multi-Species TGF-β
- 942 3-Plex Discovery Assay (TGFβ1-3), Human Pituitary Panel 1 7-plex (HPTP1),
- 943 Multispecies Hormone Panel 5-Plex (MSHMAG-21K) and Arginase-1.

944 To quantitate the total plasma testosterone levels, competitive ELISAs were performed

- as per manufacturer's recommendations with the exception of the dissociation time
- 946 which was increased to 30 mins to ensure complete release of protein bound
- testosterone (Thermo Fisher, #EIATES). Only analytes with less than 20% missing
- 948 values were included in the analyses.

949 SPEAR SARS-CoV-2 Spike Protein Immunoassay

950 The SARS-CoV-2 S1 antigen was measured in plasma samples using the SPEAR 951 SARS-CoV-2 Spike Protein Immunoassay, developed and performed by Spear Bio, Inc. 952 (Woburn, MA). The SPEAR immunoassay employs two specially designed DNA probes 953 conjugated to target-specific antibodies that undergo a two-step successive polymerase 954 extension reaction when bound to the target analyte, producing a unique DNA strand for 955 analyte quantification with extremely low background. The assay uses antibodies 956 specific to two distinct epitopes within the S1 subunit (GenScript, #A02052, #A02058). 957 Plasma samples first underwent a reduction treatment to release S1 from potentially bound-blocking antibodies. In brief, plasma samples were incubated with SPEAR-958 959 specific reducing reagent for 15 minutes at 37°C, followed by the addition of a SPEAR-960 specific reduction guenching solution before incubation with the probes. The treated 961 samples were then diluted tenfold in the assav diluent and incubated with S1-specific 962 antibody-oligonucleotide probes for 2 hours at 37°C. This was followed by a 30-minute 963 reaction to convert the presence of the analyte into DNA signal strands. The DNA signal 964 strands were quantified through qPCR using the QuantStudio 12K Flex (ThermoFisher) 965 with primers and qPCR probes specific to the DNA signal strand sequence.

966 Standard curves were generated from a stock solution S1 spike protein spanning from 967 0.0625 – 100,000 fM. Results from standard curves were used to generate sigmoidal 968 four parameter logistic (4PL) fits in GraphPad Prism (v 10) software. Sample 969 concentration results were calculated from run specific 4PL fit, multiplied by dilution 970 factor. Lower limit of detection (LLoD) was calculated as the concentration 971 corresponding to 2.5 standard deviation + mean zero in signal (18 replicates per run). 972 Lower limit of quantification (LLoQ) was derived from the sample precision profile [measured concentration vs. concentration coefficient of variation (CV)] as the 973 974 measured concentration at which a sample precision fit intersects at 20% concentration 975 CV. The LLoQ and the LLoD values were calculated to be 20.5 fM and 5.64 fM as a 976 mean of 6 runs. Values below LLoQ were replaced by the assay LLoD value and all 977 values below LLoD were imputed as zero. The values were then transformed using the 978 natural logarithm $(\ln(x + 1))$ for comparisons. Analysis between groups was performed 979 through a generalized regression model for zero inflation distribution (ZI Poisson) 980 applying Tukey's HSD to each pair.

To further validate the S1 assays, independent SPEAR immunoassays were performed
to detect the full-length protein. This assay utilized oligonucleotide probes conjugated to
antibodies targeting both the S1 (GenScript, #A02052) and S2 (R&D Systems, #
MAB11362-100) subdomains. The S1 subunit binding antibody was common to both
the assays.

986 General Statistical Analyses

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988 The association of variables between case and control cohorts and among the four 989 groups were assessed using Fisher's exact test for categorical variables. For pair-wise 990 comparisons, Mann-Whitney tests with Benjamini-Hochberg correction for multiple 991 testing were used. For four-group comparisons, Kruskal-Wallis tests followed by 992 Conover's post-hoc test with Benjamini-Hochberg method for multiple comparisons 993 correction were applied to continuous variables. Post hoc tests were also performed 994 using Dunn's test with Bonferroni–Holm's method to adjust for multiple comparisons. 995 Both one and two-sided p values ≤ 0.05 were considered statistically significant. 996 Spearman's rank correlation tests were utilized to analyze the relationships between cell 997 populations, antibody levels, anti-SARS-CoV-2 antibody levels, the number of 998 vaccinations, days post-vaccination, days post-exposure and in assay validations. 999 Linear modeling

1000 **Linear** 1001

Generalized linear modeling was performed linear regression with outputs of model
 coefficients for each variable and associated p-values ≤ 0.05 were considered
 statistically significant. All analysis was performed using R. Standard generalized linear
 model function listed is below.

 $y \sim 1 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \dots$

1008 Machine Learning Analysis

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1006 1007

1010 To predict PVS and control outcomes, we utilized a two-step approach involving 1011 weighted gene co-expression network analysis (WGCNA) and the construction of a generalized LASSO linear model^{31,60}. Initially, we preprocessed the data via guantile 1012 1013 normalization to address outliers and skewness, with average ranks assigned in case of 1014 ties. Due to the presence of highly correlated features, we applied WGCNA to identify 1015 clusters of features with similar expression patterns. Specifically, we set the soft 1016 thresholding power parameter to 7, which is crucial for transforming the raw correlations 1017 into adjacency values, by maintaining the highest mean connectivity and achieving a 1018 model fit higher than 0.8. Using this selected parameter, we computed the network 1019 adjacency based on pearson correlation within a signed network. We then constructed a 1020 topological overlap matrix (TOM) and converted it into its corresponding dissimilarity 1021 measure. Hierarchical clustering was performed on this measure with ward.D2 linkage, 1022 and the dendrogram was pruned to enforce a minimum cluster size of 5. This process 1023 allowed us to identify 6 distinct modules. 1024

1027 principal component) of the other 5 modules. To compensate for small sample size and 1028 assess model performance, we conducted nested cross-validation with both inner and outer loops set to 10, and adjusted the classification threshold to 0.65 to combat class 1029 1030 imbalance. Additionally, a permutation test was performed by shuffling the PVS label 1031 within the infection and non-infection group, demonstrating the fitted model performed 1032 better than random chance. We then refitted the LASSO model using all available data 1033 and applied the min criterion rule to select important features. Given that LASSO does 1034 not inherently provide p-values due to its regularization nature, we also assessed the 1035 marginal significance of each individual feature using logistic regression. To better 1036 understand model performance, t-SNE, or t-distributed Stochastic Neighbor Embedding, 1037 was used to create low-dimension plots of the LASSO variable space.

1038

Lastly, to ensure model robustness, a secondary workflow was utilized to examine variable importance. For this, a random forest model was created utilizing nested crossvalidation and SMOTE up-sampling to compensate for class imbalance. Random forest

- 1042 permutation importance was then used as a metric to examine the top features.
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- 1044 1045

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